Anyone wishing to carry out work on a GMO on campus must complete the GMO Dealing checklist below aimed at assisting you to classify the dealing.

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| 1 | Are you planning to release a GMO into the environment? | Yes/No | If yes this is a DIR. All DIR applications are assessed by the OGTR. This is a lengthy process. Please see their website for [forms and information](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/apps-for-gmo). Applications must be made via the UNE IBC. Please consult the IBC chair before proceeding with an application. |
| 2 | Are you planning to carry out any of the following: a clinical trial involving a GMO, genetic modification that may increase the pathogenicity or toxicity of the GMO, a dealing involving pathogens that require physical containment level PC3 or PC4?  | Yes/No | If yes, this is a DNIR. Please note that UNE does not have any physical containment facilities higher than PC2. So this work is not likely to be possible on campus. All DNIR applications are assessed by the OGTR. Please see their website for [forms and information](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/apps-for-gmo). Applications must be made via the UNE IBC. Please consult the IBC chair before proceeding with an application. |
| 3  | Will you create cultures larger than 25 L of any GMO? | Yes/No | If yes please download and complete WHS F056 (Interim) Notification of a Notifiable Low Risk Dealing Form from [Safety Hub](http://www.une.edu.au/safety/bio-safety-%40-une/determine-dealing-in-relation-to-a-gmo/_nocache). |
| 4  | Is the transferred DNA derived from an organism implicated in, or with a history of causing, disease in otherwise healthy, human beings, animals, plants, fungi? | Yes/No | If yes your dealing may be an NLDR. Please download and complete WHS F056 (Interim) Notification of a Notifiable Low Risk Dealing Form from [Safety Hub](http://www.une.edu.au/safety/bio-safety-%40-une/determine-dealing-in-relation-to-a-gmo/_nocache). The IBC will decide whether your project should be exempt or whether it can be approved as an NLRD. Please go to the [OGTR list of exempt dealings](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/exemptdealings-1Sept2011-htm) for clarification. |
| 5 | Does the transferred DNA encode a toxin? | Yes/No | If yes your dealing may be an NLDR. Please download and complete WHS F056 (Interim) Notification of a Notifiable Low Risk Dealing Form from [Safety Hub](http://www.une.edu.au/safety/bio-safety-%40-une/determine-dealing-in-relation-to-a-gmo/_nocache). The IBC will decide whether your project should be exempt or whether it can be approved as an NLRD. Please go to the [OGTR list of exempt dealings](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/exemptdealings-1Sept2011-htm) for clarification. |
| 6 | Does the transferred DNA include a viral sequence? | Yes/No | If yes your dealing may be an NLDR. Please download and complete WHS F056 (Interim) Notification of a Notifiable Low Risk Dealing Form from [Safety Hub](http://www.une.edu.au/safety/bio-safety-%40-une/determine-dealing-in-relation-to-a-gmo/_nocache). The IBC will decide whether your project should be exempt or whether it can be approved as an NLRD. Please go to the [OGTR list of exempt dealings](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/exemptdealings-1Sept2011-htm) for clarification. |
| 7 | Are you planning to genetically modify a plant or carry out work using a GMO plant other than plant cell/tissue culture as covered in Q7A below? | Yes/No | If yes please download and complete WHS F056 (Interim) Notification of a Notifiable Low Risk Dealing Form from [Safety Hub](http://www.une.edu.au/safety/bio-safety-%40-une/determine-dealing-in-relation-to-a-gmo/_nocache). |
| 7A | Are you planning to work with plant tissue culture or isolated plant tissues or organs and they are not intended, and are not likely without human intervention, to vegetatively propagate, flower or regenerate into a whole plant. and one of the following approved vector systems will be used:1. Non-tumorigenic disarmed Ti plasmid vectors, or Ri plasmid vectors, in *Agrobacterium tumefaciens*, *Agrobacterium radiobacter* or *Agrobacterium rhizogenes*
2. Non-pathogenic viral vectors
3. None (non-vector systems)
 | Yes/No | If yes, this is classified as an exempt dealing. This work does not require IBC approval but correct procedures for [containment of exempt dealings](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/ExemptDealGuideJan10-htm) must be followed. |
| 8 | Are you planning to genetically modify a fungus or carry out work using a GMO fungus other than those in the Q8A below? | Yes/No | If yes please download and complete WHS F056 (Interim) Notification of a Notifiable Low Risk Dealing Form from [Safety Hub](http://www.une.edu.au/safety/bio-safety-%40-une/determine-dealing-in-relation-to-a-gmo/_nocache). |
| 8A | Are you planning work involving a fungus on the following exempt list:Kluyveromyces lactis, Neurospora crassa — laboratory strains, Pichia pastoris, Saccharomyces cerevisiae, Schizosaccharomyces pombe, Trichoderma reesei, Yarrowia lipolytica | Yes/No | If yes, this is classified as an exempt dealing. This work does not require IBC approval but correct procedures for [containment of exempt dealings](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/ExemptDealGuideJan10-htm) must be followed. |
| 9 | Unless you have answered yes to one of the questions above (other than 7A or 8A), most work with commonly used laboratory bacterial strains, mammalian cells cultures and animals with genetically modified somatic cells using approved vector system is classified as an exempt dealing. Please go to the [OGTR list of exempt dealings](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/exemptdealings-1Sept2011-htm) and make sure your host vector system is on the schedule of exempt dealings. Such work does not require IBC approval but correct procedures for [containment of exempt dealings](http://www.ogtr.gov.au/internet/ogtr/publishing.nsf/Content/ExemptDealGuideJan10-htm) must be followed. If in any doubt about the status of your proposed work please consult a member of the UNE IBC |

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| ***Records Storage Instructions*** |
| *This completed form must be recorded in TRIM Container A17/817 utilising a TRIM license in your School/Business Unit. Only the HR Team is able to* ***view*** *records in this container.*  |